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PTO/SB/01 (12-97)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)	Attorney Docket Number	12636-303
	First Named Inventor	Rajashree Joshi-Hangal
	<i>COMPLETE IF KNOWN</i>	
	Application Number	10/306,824
	Filing Date	November 26, 2002
	Group Art Unit	1621
	Examiner Name	Unassigned

As a below named Inventor, I hereby declare that: My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: <div style="border: 1px solid black; padding: 10px; text-align: center; margin: 10px 0;">METHOD FOR PREPARING AND USING POLYOXYETHYLATED CASTOR OIL IN PHARMACEUTICAL COMPOSITIONS</div> <p style="text-align: center; margin: 0;"><i>(Title of the Invention)</i></p> <p>the specification of which <input type="checkbox"/> is attached hereto OR <input checked="" type="checkbox"/> was filed on (MM/DD/YYYY) 11/26/2002 as United States Application Number or PCT International Application Number 10/306,824 and was amended on (MM/DD/YYYY) <input type="checkbox"/> (if applicable).</p> <p>I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.</p> <p>I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.</p> <p>I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.</p> <table border="1" style="width: 100%; border-collapse: collapse; margin: 5px 0;"><thead><tr><th style="width: 20%;">Prior Foreign Application Number(s)</th><th style="width: 15%;">Country</th><th style="width: 15%;">Foreign Filing Date (MM/DD/YYYY)</th><th style="width: 15%;">Priority Not Claimed</th><th colspan="2" style="width: 35%;">Certified Copy Attached?</th></tr><tr><th></th><th></th><th></th><th></th><th>YES</th><th>NO</th></tr></thead><tbody><tr><td></td><td></td><td></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr><tr><td></td><td></td><td></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr><tr><td></td><td></td><td></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr><tr><td></td><td></td><td></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr></tbody></table> <p><input type="checkbox"/> Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto:</p> <p>I hereby claim the benefit under 35 U.S.C. 119(h) of any United States provisional application(s) listed below.</p> <table border="1" style="width: 100%; border-collapse: collapse; margin: 5px 0;"><thead><tr><th style="width: 35%;">Application Number(s)</th><th style="width: 35%;">Filing Date (MM/DD/YYYY)</th><th style="width: 30%;"></th></tr></thead><tbody><tr><td style="text-align: center;">60/333,459</td><td style="text-align: center;">11/26/2001</td><td><input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.</td></tr></tbody></table>					Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?						YES	NO				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Application Number(s)	Filing Date (MM/DD/YYYY)		60/333,459	11/26/2001	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.
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U.S. Parent Application or PCT Parent Number				Parent Filing Date (MM/DD/YYYY)		Parent Patent Number (if applicable)	
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.							
<p>As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: <input checked="" type="checkbox"/> Customer Number 021971 → Place Customer Number Bar Code Label here</p> <p style="text-align: center;">OR</p> <p><input type="checkbox"/> Registered practitioner(s) name/registration number listed below</p>							
Name		Registration Number		Name		Registration Number	
<input type="checkbox"/> Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.							
<p>Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label 021971 OR <input type="checkbox"/> Correspondence address below</p>							
Name		Shirley Chen					
Address		Wilson Sonsini Goodrich & Rosati					
Address		650 Page Mill Road					
City		Palo Alto		State		CA	
Country		U.S.		Telephone		650-493-9300	
				Fax		650-493-6811	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>							
Name of Sole or First Inventor:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Rajashree				Joshi-Hangal			
Inventor's Signature		<i>Rajashree</i>				Date	
						03/10/03	
Residence: City		Union City		State		CA	
				Country		US	
Post Office Address		111 S. Cascades Circle					
Post Office Address							
City		Union City		State		CA	
				ZIP		94587	
				Country		US	
<input checked="" type="checkbox"/> Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto:							

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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Joseph				Rubinfeld			
Inventor's Signature			Date				
Residence: City	Danville	State	CA	Country	US	Citizenship	US
Post Office Address 5304 Blackhawk Drive							
Post Office Address							
City	Danville	State	CA	ZIP	94506	Country	CA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Sanjeev				Redkar			
Inventor's Signature			Date				
City	Union City	State	CA	Country	US	Citizenship	IN
Post Office Address 30723 Churchill Court							
Post Office Address							
City	Union City	State	CA	ZIP	94587	Country	US
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Ashok Y.				Gore			
Inventor's Signature			Date				
City	San Ramon	State	CA	Country	US	Citizenship	US
Post Office Address 2050 Goldenrod Lane							
Post Office Address							
City	San Ramon	State	CA	ZIP	94583	Country	US

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	Group Art Unit	1621
Examiner Name	Unassigned	

As a below named Inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD FOR PREPARING AND USING POLYOXYETHYLATED CASTOR OIL
IN PHARMACEUTICAL COMPOSITIONS**

(Title of the Invention)

the specification of which
☐ is attached hereto
OR
☒ was filed on (MM/DD/YYYY) 11/26/2002 as United States Application Number or PCT International Application Number 10/306,824 and was amended on (MM/DD/YYYY) ☐ (if applicable).

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(h) of any United States provisional application(s) listed below.

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60/333,459	11/26/2001	


(Page 1 of 2)


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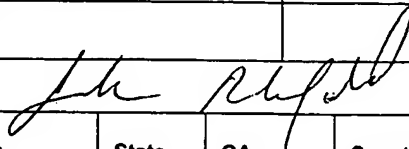
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Address		650 Page Mill Road								
City		Palo Alto			State		CA		ZIP	
Country		U.S.		Telephone			650-493-9300		Fax	
									94304	
									650-493-6811	
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Rajashree					Joshi-Hangal					
Inventor's Signature								Date		
Residence: City		Milpitas		State		CA		Country		
								US		
Post Office Address		1775 Milmont Drive, #C207								
Post Office Address										
City		Milpitas		State		CA		ZIP		
								95035		
								Country		
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Inventor's Signature						Date	
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Inventor's Signature						Date	
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
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OR

☐ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar
Code Label here

Name	Registration Number	Name	Registration Number

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Name	Shirley Chen						
Address	Wilson Sonsini Goodrich & Rosati						
Address	650 Page Mill Road						
City	Palo Alto	State	CA	ZIP	94304		
Country	U.S.	Telephone	650-493-9300		Fax	650-493-6811	

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Given Name (first and middle (if any))				Family Name or Surname			
Rajashree				Joshi-Hangal			
Inventor's Signature						Date	
Residence: City	Milpitas	State	CA	Country	US	Citizenship	IN
Post Office Address	1775 Milmont Drive, #C207						
Post Office Address							
City	Milpitas	State	CA	ZIP	95035	Country	US

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>1</u> of <u>1</u>
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle (if any))				Family Name or Surname				
Joseph				Rubinfeld				
Inventor's Signature					Date			
Residence: City		Danville	State	CA	Country	US	Citizenship	
Post Office Address		5304 Blackhawk Drive						
Post Office Address								
City		Danville	State	CA	ZIP	94506	Country	
							CA	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle (if any))				Family Name or Surname				
Sanjeev				Redkar				
Inventor's Signature					Date		03/10/03	
City		Union City	State	CA	Country	US	Citizenship	
Post Office Address		30723 Churchill Court						
Post Office Address								
City		Union City	State	CA	ZIP	94587	Country	
							US	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle (if any))				Family Name or Surname				
Ashok Y.				Gore				
Inventor's Signature					Date			
City		San Ramon	State	CA	Country	US	Citizenship	
Post Office Address		2050 Goldenrod Lane						
Post Office Address								
City		San Ramon	State	CA	ZIP	94583	Country	
							US	

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)	Attorney Docket Number	12636-303
	First Named Inventor	Rajashree Joshi-Hangal
	COMPLETE IF KNOWN	
	Application Number	10/306,824
	Filing Date	November 26, 2002
	Group Art Unit	1621
	Examiner Name	Unassigned

As a below named inventor, I hereby declare that:
My residence, post office address, and citizenship are as stated below next to my name.
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD FOR PREPARING AND USING POLYOXYETHYLATED CASTOR OIL
IN PHARMACEUTICAL COMPOSITIONS**

(Title of the Invention)

the specification of which
☐ is attached hereto
OR
☒ was filed on (MM/DD/YYYY) 11/26/2002 as United States Application Number or PCT International Application Number 10/306,824 and was amended on (MM/DD/YYYY) ☐ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.


Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto:
I hereby claim the benefit under 35 U.S.C. 119(h) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.
60/333,459	11/26/2001	


(Page 1 of 2)

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DECLARATION — Utility or Design Patent Application							
<p>I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of the application is not designated in the prior United States or PCT international application in the manner provided by the first paragraph of 31 U.S.C. 112. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.</p>							
U.S. Parent Application or PCT Parent Number				Parent Filing Date (MM/DD/YYYY)		Parent Patent Number (if applicable)	
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.							
<p>As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: <input checked="" type="checkbox"/> Customer Number 021971  Place Customer Number Bar Code Label here</p> <p style="text-align: center;">OR</p> <p><input type="checkbox"/> Registered practitioner(s) name/registration number listed below</p>							
Name		Registration Number		Name		Registration Number	
<input type="checkbox"/> Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.							
<p>Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label 021971 OR <input type="checkbox"/> Correspondence address below</p>							
Name		Shirley Chen					
Address		Wilson Sonsini Goodrich & Rosati					
Address		650 Page Mill Road					
City		Palo Alto		State		CA	
Country		U.S.		Telephone		650-493-9300	
				Fax		650-493-6811	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>							
Name of Sole or First Inventor:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Rajashree				Joshi-Hangal			
Inventor's Signature						Date	
Residence: City		Union City		State		CA	
				Country		US	
Post Office Address		111 S. Cascades Circle					
Post Office Address							
City		Union City		State		CA	
				ZIP		94587	
Country		US					
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto:							

Please Type a plus sign (+) inside this box → +

PTO/SB/02A (3-97)
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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>1</u> of <u>1</u>
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Joseph				Rubinfeld			
Inventor's Signature						Date	
Residence: City	Danville	State	CA	Country	US	Citizenship	US
Post Office Address	5304 Blackhawk Drive						
Post Office Address							
City	Danville	State	CA	ZIP	94506	Country	CA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Sanjeev				Redkar			
Inventor's Signature						Date	
City	Union City	State	CA	Country	US	Citizenship	IN
Post Office Address	30723 Churchill Court						
Post Office Address							
City	Union City	State	CA	ZIP	94587	Country	US
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Ashok Y.				Gore			
Inventor's Signature	<i>Ashok Y. Gore</i>					Date	3/11/03
City	San Ramon	State	CA	Country	US	Citizenship	US
Post Office Address	2050 Goldenrod Lane						
Post Office Address							
City	San Ramon	State	CA	ZIP	94583	Country	US

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**POWER OF ATTORNEY BY ASSIGNEE TO EXCLUSION OF INVENTOR
UNDER 37 C.F.R. § 3.71 WITH REVOCATION OF PRIOR POWERS**

The undersigned ASSIGNEE of the entire interest in:

- ☐ U.S. Patent No. _____
☒ U.S. application no. 10/306,824, filed on November 26, 2002

hereby appoints the following attorneys of Wilson Sonsini Goodrich & Rosati:

Attorney Name	Reg. No.	Attorney Name	Reg. No.
Vern Norviel	32,483	Michael Barclay	32,553
Michael Murphy	37,404	Scott Morris	43,818
U.P. Peter Eng	39,666	Shirley Chen	44,608
Kenta Suzue	45,145	Julie Holloway	44,769
George Willman	41,378	Kevin Sin	43,110
Maya Skubatch	52,505		

and all Wilson Sonsini Goodrich & Rosati attorneys registered to practice before the United States Patent and Trademark Office, to prosecute this application and transact all business in the United States Patent and Trademark Office in connection therewith and hereby revokes all prior powers of attorney; said appointment to be to the exclusion of the inventors and the inventors' attorneys in accordance with the provisions of 37 C.F.R. § 3.71.

The following evidentiary documents establish a chain of title from the original owner to the Assignee:

(complete one of the following)

- ☒ a copy of an Assignment attached hereto, which Assignment has been (or is herewith) forwarded to the Patent and Trademark Office for recording; or
- ☐ the Assignment recorded on _____ at reel _____, frames _____.

Pursuant to 37 C.F.R. § 3.73(b) the undersigned Assignee hereby states that evidentiary documents have been reviewed and hereby certifies that, to the best of ASSIGNEE's knowledge and belief, title is in the identified ASSIGNEE.

Direct all correspondence and telephone calls to:

Name	Shirley Chen					
Address	Wilson Sonsini Goodrich and Rosati					
Address	650 Page Mill Road					
City	Palo Alto	State	CA	Zip	94304	Customer No.: 021971
Country	USA	Telephone	(650) 493-9300	Fax	(650) 493-6811	

ASSIGNEE: SuperGen, Inc.

Name: JOSEPH RUBINFELD
 Print


 Signature

Title: PRESIDENT & CEO

Date: 3-17-03